

## Message Text

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PAGE 01 STATE 214131  
ORIGIN EB-08

INFO OCT-01 ARA-10 ISO-00 SEC-01 L-03 /023 R

DRAFTED BY /EB:GALEHNER:ER  
APPROVED BY ARA/MEX:DSIMCOX  
ARA:SSHELTON

-----087616 231916Z /45  
R 231756Z AUG 78  
FM SECSTATE WASHDC  
TO AMEMBASSY MEXICO CITY

C O N F I D E N T I A L STATE 214131

E.O. 11652: N/A

TAGS:PDIP

SUBJECT: ILLICIT PAYMENTS: RELEASE OF SECURITIES AND  
EXCHANGE DOCUMENTS

1. SECURITIES AND EXCHANGE COMMISSION (SEC) OFFICIALS  
HAVE APPROACHED DEPARTMENT SEEKING GUIDANCE ON RELEASE OF  
INFORMATION CONCERNING ILLICIT PAYMENTS MADE BY OFFICIALS  
OF ANDERSON, CLAYTON COMPANY TO GOM OFFICIALS.

2. INFORMATION IN QUESTION IS CONTAINED IN TESTIMONY GIVEN  
TO SEC IN CONJUNCTION WITH SEC INVESTIGATION OF ANDERSON,  
CLAYTON ACTIVITIES. TESTIMONY ITSELF DETAILS VARIOUS  
TRANSACTIONS BETWEEN CERTAIN LOWER LEVEL GOM OFFICIALS AND  
ANDERSON, CLAYTON PERSONNEL. AT ONE POINT, ANDERSON,  
CLAYTON OFFICIALS, IN THEIR TESTIMONY, POINT OUT THAT A  
PAYMENT WAS MADE BECAUSE OF IMPLIED THREATS OF PHYSICAL  
VIOLENCE IF NO PAYMENT WAS FORTHCOMING.

3. ANDERSON, CLAYTON HAS ASKED SEC AND DEPARTMENT TO  
WITHHOLD RELEASE OF THIS TESTIMONY BECAUSE THEY FEAR  
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RETALIATION FROM PERSONS IN MEXICO INVOLVED IN THESE  
TRANSACTIONS.

4. ANDERSON, CLAYTON HAVE PRESENTED THEIR CASE TO DEPART-  
MENT OFFICIALS. HOWEVER, DEPARTMENT DOES NOT RPT NOT  
INCREASE RISK OF HARM TO ANDERSON, CLAYTON OFFICIALS.  
DEPARTMENT OFFICIALS ALSO BELIEVE THAT RELEASE OF THIS

TESTIMONY WILL HAVE NO ADVERSE EFFECT ON RELATIONS WITH GOM.

5. ACTION REQUESTED: WE WOULD APPRECIATE YOUR VIEWS ON THIS CASE, PARTICULARLY QUESTION OF WHETHER ANDERSON, CLAYTON OFFICIALS WOULD FACE SUBSTANTIAL RISK OF HARM BY PERSONS IN MEXICO IF THIS INFORMATION IS RELEASED. DEPARTMENT CAN MAKE ADDITIONAL INFO AVAILABLE TO EMBASSY IF EMBASSY IS NOT ALREADY AWARE OF DETAILS OF THIS CASE. CHRISTOPHER

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NNN

## Message Attributes

**Automatic Decaptioning:** X  
**Capture Date:** 01 jan 1994  
**Channel Indicators:** n/a  
**Current Classification:** UNCLASSIFIED  
**Concepts:** SECURITIES, CORRUPTION, DOCUMENTS, INFORMATION CONTROL, INVESTIGATIONS  
**Control Number:** n/a  
**Copy:** SINGLE  
**Draft Date:** 23 aug 1978  
**Decaption Date:** 01 jan 1960  
**Decaption Note:**  
**Disposition Action:** RELEASED  
**Disposition Approved on Date:**  
**Disposition Case Number:** n/a  
**Disposition Comment:** 25 YEAR REVIEW  
**Disposition Date:** 20 Mar 2014  
**Disposition Event:**  
**Disposition History:** n/a  
**Disposition Reason:**  
**Disposition Remarks:**  
**Document Number:** 1978STATE214131  
**Document Source:** CORE  
**Document Unique ID:** 00  
**Drafter:** GALEHNER:ER  
**Enclosure:** DG ALTERED  
**Executive Order:** GS  
**Errors:** N/A  
**Expiration:**  
**Film Number:** D780345-0336  
**Format:** TEL  
**From:** STATE  
**Handling Restrictions:** n/a  
**Image Path:**  
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**Legacy Key:** link1978/newtext/t19780832/aaaabaew.tel  
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**Litigation Codes:**  
**Litigation History:**  
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**Office:** ORIGIN EB  
**Original Classification:** CONFIDENTIAL  
**Original Handling Restrictions:** n/a  
**Original Previous Classification:** n/a  
**Original Previous Handling Restrictions:** n/a  
**Page Count:** 2  
**Previous Channel Indicators:** n/a  
**Previous Classification:** CONFIDENTIAL  
**Previous Handling Restrictions:** n/a  
**Reference:** n/a  
**Retention:** 0  
**Review Action:** RELEASED, APPROVED  
**Review Content Flags:**  
**Review Date:** 21 jul 2005  
**Review Event:**  
**Review Exemptions:** n/a  
**Review Media Identifier:**  
**Review Release Date:** n/a  
**Review Release Event:** n/a  
**Review Transfer Date:**  
**Review Withdrawn Fields:** n/a  
**SAS ID:** 1645078  
**Secure:** OPEN  
**Status:** NATIVE  
**Subject:** ILLICIT PAYMENTS: RELEASE OF SECURITIES AND EXCHANGE DOCUMENTS  
**TAGS:** PDIP, US, MX, SEC, ANDERSON CLAYTON CO  
**To:** MEXICO  
**Type:** TE  
**vdkgvwkey:** odb://SAS/SAS.dbo.SAS\_Docs/a5ba305d-c288-dd11-92da-001cc4696bcc  
**Review Markings:**  
Sheryl P. Walter  
Declassified/Released  
US Department of State  
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20 Mar 2014  
**Markings:** Sheryl P. Walter Declassified/Released US Department of State EO Systematic Review 20 Mar 2014